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Docket No.: 102

March 28, 2002

Notice to File Missing Parts
Commissioner For Patents
Washington, D.C. 20231

Re: Applicant(s): Carranza, Jose R.; et. al.
Assignee: Cardica, Inc.
Title: System for Preparing a Graft Vessel for Anastomosis
Serial No.: 10/055,179 ✓
Examiner: Not yet assigned
Docket No.: 102
Filed: January 23, 2002
Group Art Unit: 3739

Dear Sir:

Transmitted herewith are the following documents in the above-identified application:

- (1) This Transmittal Letter;
- (2) Copy of Notice to File Missing Parts of Nonprovisional Application;
- (3) Declaration for Patent Application and Power of Attorney;
- (4) Assignment;
- (5) Cover sheet for assignment;
- (6) Check no. 5394 in the amount of \$817.00; and
- (7) Return postcard.

The check in the amount of \$817.00 includes \$777.00 in accordance with the attached Copy of Notice to File Missing Parts of Nonprovisional Application, and \$40.00 for recordation of the enclosed Assignment.

Please charge Deposit Account 502108 for any additional fees required, and credit deposit account 502108 any amounts overpaid.

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope addressed to: Box Amendment, Commissioner For Patents, Washington, D.C. 20231, on March 28, 2002.

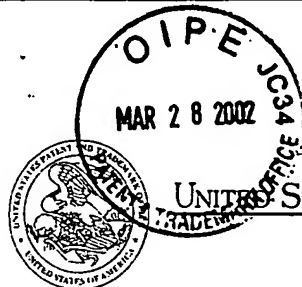
Attorney for Applicant(s)

March 28, 2002
Date of Signature

EXPRESS MAIL NO. EV060921854US

Respectfully submitted,

Brian A. Schar
Reg. No. 45,076
Chief Patent Counsel
Cardica, Inc.
171 Jefferson Drive
Menlo Park, CA 94025



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/055,179	01/23/2002	Jose R. Carranza	102

CONFIRMATION NO. 8443

FORMALITIES LETTER



OC000000007675789

Cardica, Inc.
171 Jefferson Drive
Menlo Park, CA 94025

Date Mailed: 03/20/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

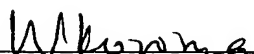
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 370 to complete the basic filing fee for a small entity.
- Total additional claim fee(s) for this application is \$342.
 - \$216 for 24 total claims over 20.
 - \$126 for 3 independent claims over 3 .
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 777.

*A copy of this notice **MUST** be returned with the reply.*


Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/01/2002 CCHAU1 00000042 10055179
01 FC:201 370.00 OP
02 FC:203 216.00 OP
03 FC:202 126.00 OP
04 FC:205 65.00 OP